

SEP 27 2004

**Fax transmission from:**

U.S. Naval Research Laboratory
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From: Joe Grunkemeyer

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Date: 09/27/2004**To:** Examiner Will, Monique M.

Art Unit 1746

Fax: (703) 872-9306

Cover Sheet + 8 Pages

Message:

RE: Patent Application No.: 10/644,558

Filed: 08/19/2003

Inventor: Stanish et al

Docket No.: NC 84,696

Fee Transmittal - 1 page

Request to Correct Inventorship - 1 page

Declaration - 3 pages

Statement of Lack of Deceptive Intent - 1 page

Consent of Assignee - 1 page

Statement under 37 CFR 3.73(b) - 1 page

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PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 130

Complete if Known

Application Number	10/644,558
Filing Date	08/19/2003
First Named Inventor	Stanish
Examiner Name	Will, Monique M.
Art Unit	1746
Attorney Docket No.	NC 84,696

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:Deposit Account Number
50-0281Deposit Account Name
Naval Research Laboratory

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) Correction of Inventorship

130

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130

SUBMITTED BY

Name (Print/Type)	Joseph T Grunkemeyer	Registration No. (Attorney/Agent)	46,746	Telephone	202-404-1556
Signature	<i>Itaey</i>	Date	9/27/04		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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SEP 27 2004
PATENT APPLICATION
Docket No.: NC 84,696

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Stanish et al.
Serial No.: 10/644,558
Filed: 08/19/2003
For: BIOBASED MICROBATTERY
Examiner: Will, Monique M.
Art Group Unit: 1746

Honorable Commissioner of Patents
PO Box 1450
Alexandria, VA 22313-1450

September 27, 2004

REQUEST TO CORRECT INVENTORSHIP

Sir:

This request is submitted pursuant to 37 CFR 1.48(a) to correct the inventorship of this patent application. An inventor was mistakenly omitted from the Declaration. Please add Daniel A. Lowy of Woodbridge, Virginia as an inventor. The error occurred without deceptive intent. Attached are a statement of lack of deceptive intent from Daniel A. Lowy, a new Declaration, Consent of the Assignee, including a Statement under 37 CFR 3.73(b), and Fee Transmittal.

In the event that a fee is required, please charge the fee to Deposit Account No. 50-0281, and in the event that there is a credit due, please credit Deposit Account No. 50-0281.

Respectfully submitted,



Joseph T. Grunkemeyer
Reg. No. 46,746
Phone No. 202-404-1556
Office of the Associate Counsel
(Patents), Code 1008.2
Naval Research Laboratory
4555 Overlook Ave, SW
Washington, DC 20375-5325

CERTIFICATE OF FACSIMILE TRANSMISSION

I certify that this correspondence is being facsimile transmitted to the US Patent and Trademark Office on the date shown below.

9/27/04
Date


Joseph T. Grunkemeyer

SEP 27 2004
PATENT APPLICATION
Docket No.: NC 84,696

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Stanish et al.
Serial No.: 10/644,558
Filed: 08/19/2003
For: BIOBASED MICROBATTERY
Examiner: Will, Monique M.
Art Group Unit: 1746

Honorable Commissioner of Patents
PO Box 1450
Alexandria, VA 22313-1450

STATEMENT OF LACK OF DECEPTIVE INTENT BY DANIEL A. LOWY

Sir:

My name was omitted from the inventorship of the above-referenced patent application.
The error occurred without any deceptive intent on my part.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

9/22/04

Date



Daniel A. Lowy

09/27/04 MON 14:42 FAX 202 404 7380

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SEP 27 2004

002

PATENT APPLICATION
Docket No.: NC 84,696

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Stanish et al.
Serial No.: 10/644,558
Filed: 08/19/2003
For: BIOBASED MICROBATTERY
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Art Group Unit: 1746

Honorable Commissioner of Patents
PO Box 1450
Alexandria, VA 22313-1450

CONSENT OF ASSIGNEE TO CHANGE IN INVENTORSHIP

Sir:

The United States Government as represented by the Secretary of the Navy, as the assignee of the above-reference patent application, consents to the addition of Daniel A. Lowy to the inventorship of this patent application. A Statement under 37 CFR 3.73(b) is attached. The below-signed is authorized to act on behalf of the assignee.

27 Sept 2004
Date

Thomas E. McDonnell
Thomas E. McDonnell
Patent Counsel of the Navy

PTO/SB/98 (08-03)

Approved for use through 07/31/2008. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: United States Government as represented by the Secretary of the NavyApplication No./Patent No.: 10/644,556 Filed/Issue Date: 08/19/2003Entitled: BIOBASED MICROBATTERYUnited States Government - Navy, a government agency

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014188, Frame 0985, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date

703-686-4000

Telephone number

Thomas E. McDonnell

Typed or printed name



Signature

Patent Counsel of the Navy

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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